

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

71512-002

In Re Application Of:

C. Lee Duncan and Allen J. LaRiviere

Serial No.

Filing Date  
herewith

Examiner

Group Art Unit

Title:

Mulching Lawn Mower Blade Assembly with Replaceable Inserts

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
Information Disclosure Statement – PTO 1449 (Modified) Sheet 1 of 1

| INFORMATION DISCLOSURES STATEMENT BY APPLICANT<br>(use as many sheets as necessary) |                 |                 |         |       |          |             |    | Docket Number (Optional)<br>71512-002             |          | Application Number |          |                            |  |
|---|-----------------|-----------------|---------|-------|----------|-------------|----|---|----------|--------------------|----------|----------------------------|--|
|   |                 |                 |         |       |          |             |    | Applicant<br>C. Lee Duncan and Allen J. LaRiviere |          |                    |          |                            |  |
|   |                 |                 |         |       |          |             |    | Filing Date<br>herewith                           |          | Group Art Unit     |          |                            |  |
| <b>U.S. PATENT DOCUMENTS</b>  |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
| EXAMINER INITIAL  | REF             | DOCUMENT NUMBER |         |       |          |             |    | ISSUE DATE  | NAME     | CLASS              | SUBCLASS | FILING DATE IF APPROPRIATE |  |
|   |                 | 4               | 6       | 5     | 1        | 5           | 1  | 0   | 3-24-87  | Malutich           |          |                            |  |
|   |                 | 5               | 0       | 3     | 6        | 6           | 5  | 4   | 8-6-91   | Malutich           |          |                            |  |
|   |                 | 5               | 3       | 0     | 3        | 5           | 3  | 5   | 4-19-94  | Smith              |          |                            |  |
|   |                 | 5               | 5       | 0     | 1        | 0           | 6  | 8   | 3-26-96  | Martz              |          |                            |  |
|   |                 | 6               | 1       | 4     | 5        | 2           | 9  | 0   | 11-14-00 | Sullivan           |          |                            |  |
|   |                 | 6               | 3       | 0     | 1        | 8           | 6  | 8   | 10-16-01 | Seplinger          |          |                            |  |
|   |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
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|   |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
| <b>FOREIGN PATENT DOCUMENTS</b>   |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
| REF   | DOCUMENT NUMBER | ISSUE DATE      | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |   |          |                    |          |                            |  |
|   |                 |                 |         |       |          | YES         | NO |   |          |                    |          |                            |  |
|   |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
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|   |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>       |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
|   |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
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|   |                 |                 |         |       |          |             |    |   |          |                    |          |                            |  |
| EXAMINER  |                 |                 |         |       |          |             |    | DATE CONSIDERED                                   |          |                    |          |                            |  |

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Mail Stop Comments – Patents, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.